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TRADE			Applic	cation:Number	09/64	415081		
*	TRANSMITTAL			Date	Augu	ust 16, 2000		
FO ₀	FORM (to be used for all correspondence after initial filing)		First I	Named Inv ntor	Rose	enow et. al.		
(to be used for all corresp			Group	Art Unit	1631	1631		
			Exami	iner Name	S. Zr	S. Zhou		
Total Number of Pages in	This Submission	n 1 6	Attorn	ey Docket Number	3334	3334.2		
		ENCL	OSURES	(check all that apply)				
Fee Transmittal Form			nment Par Application		, -	fter Allowance Communication to Group		
Fee Attached	•	☑ Drawin	ıg(s)			ppeal Communication to Board of ppeals and Interferences		
Amendment / Respon	se	l	_	ed Papers		ppeal Communication to Group Appeal Notice, Brief, Reply Brief)		
After Final				g Slip (PTO/SB/69) ying Petition	P	Proprietary Information		
Affidavits/declarat			n to Conv sional App		s	tatus Letter		
Extension of Time Rec			r of Attorney, Revocation ge of Correspondence Address			dditional Enclosure(s) please identify below):		
Express Abandonmen	Express Abandonment Request		☐ Terminal Disclaimer ☐ Small Entity Statement			Return Postcard		
Information Disclosure	,		est for Ref	fund				
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Response to Missing Incomplete Application				,				
Response to Miss Parts under 37 CF 1.52 or 1.53			<u>.</u>					
	SIGNA	TURE OF	<u>APPLIC</u>	ANT, ATTORNEY, O	R AGE	NT		
Firm or We Individual name	ei Zhou							
Signature	M	5-	<u> </u>					
Date 12	/09/2002							

Typed or printed name Alok Goel

Signature Date 12/09/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Platents, Washington, DC 20231.

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DEC 1 7 2002

sons are required to respond to a collection of information u Unden the Paperwork Reduction Act of 1995,

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

ETEM & TRE

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	/\$\	2.200	
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	Complete if K	nown
Application Number	09/641,081	
Filing Date	August 16, 2000	RECEIVED
First Named Inventor	Rosenow et. al.	
Examiner Name	S. Zhou	DEC 1 7 2002
Group / Art Unit	1631	OFFICE OF PETITIONS
Attorney Docket No	3334.2	UPPICE OF TETHIONS

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)					
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☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order			Large Entity Small Entity					
Deposit Account:			Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Deposit	01-0431	Code 1051	130	2051	65	Surcharge - late filing fee or oath		
Account Number	01-0431	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit				1053	130	Non-English specification		
Account Affymetrix, Inc.				1812	2,520	For filing a request for reexamination		
Name The Commissioner is authorized to: (check all that apply)			920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) i	ndicated below	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
☐ Charge fee(s) indicated below, except for the filing fee			110	2251	55	Extension for reply within first month		
to the above-identi	fied deposit account. FEE CALCULATION	1252	400	2252	200	Extension for reply within second month		
. 5400511		1253	920	2253	460	Extension for reply within third month	920	
	Small Entity	1254	1,440	2254	720	Extension for reply within fourth month		
	ee Fee <u>Fee Description</u> Code (\$)	1255	1,960	2255	980	Extension for reply within fifth month		
	2001 370 Utility filing fee	1401	320	2401	160	Notice of Appeal		
1	002 165 Design filing fee	1402	320	2402	160	Filing a brief in support of an appeal		
	2003 255 Plant filing fee	1403	280	2403	140	Request for oral hearing		
1004 740 2	2004 370 Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
1005 160 2005 80 Provisional filling fee			110	2452	55	Petition to revive - unavoidable		
SUBTOTAL (1) (\$) 0			1,280	2453	640	Petition to revive – unintentional	1,280	
		1501	1,280	2501	640	Utility issue fee (or reissue)		
2. EXTRA CLAIM		1502	460	2502	230	Design issue fee		
	Extra Fee from Fee Claims below Paid	1503	620	2503	310	Plant issue fee		
Total Claims	-20 ** = 0 X = 0	1460	130	1460	130	Petitions to the Commissioner		
Independent		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	·	
Claims	-3" = 0 X = 0	1806	180	1806	180	Submission of Information Disclosure Stmt		
Multiple Dependent Large Entity Small Entity			40	8021	40	Recording each patent assignment per property (times number of properties)		
Fee Fee Code (\$)	Fee Fee Fee Description	1809	740	2809	370	Filing a submission after final rejection (37 CFR § 1.129(a))	י	
1202 18	2202 9 Claims in excess of 20	1810	740	2810	370	For each additional invention to be		
1201 84	2201 42 Independent claims in excess of 3					examined (37 CFR § 1.129(b))		
1203 280	2203 140 Multiple dependent claim, if not paid	1801	740	2801	370	Request for Continued Examination (RCE)	
1204 84	2204 42 ** Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination		
1205 18	2205 9 ** Reissue claims in excess of 20 and over original patent					of a design application		
	SUBTOTAL (2) (\$) 0	Other	fee (spec	ify)	-	_		
			*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 2,200				200	
**or number prev	iously paid, if greater; For Reissues, see above							

SUBMITTED BY	······································	Complete (if applicable)				
Name (Print/Type)	Wei Zhou	Registration No. Attorney/Agent)	44,419	Telephone	(408-731-5000	
Signature	100	1		Date	12/09/2002	

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PATENT Attorney Docket No. 3334.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Rosenow et. al.

Examiner: S. Zhou

Serial No:

09/641,081

Group Art Unit: 1631

Filing Date:

August 16, 2000

Title: Methods and Computer

Software Products for

Transcriptional Annotation

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Commissioner for Patents Washington, D.C. 20231

OFFICE OF PETITIONS

LETTER TO OFFICIAL DRAFTPERSON

Dear Sir:

In response to PTO form 948 dated November 21, 2000, enclosed for filing in the subject application are three (3) sheets of formal drawings (Figs. 2, 4 and 8).

Respectfully submitted,

Reg. No.: 44,419

Legal Department Affymetrix, Inc.

3380 Central Expressway Santa Clara, CA 95051

Tel: 408/731-5000 Fax: 408/731-5392